

Office of the State Public Defender



Fiscal Year 2018 Performance Report

December 15, 2018

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INTRODUCTION

This report pursuant to Department of Management procedures provides an overview of the State Public Defender's fiscal year 2018 operations related to court-appointed counsel, claims processing, and other indigent defense matters. This information, in accordance with the Accountable Government Act, is meant to help improve decision making and increase accountability to stakeholders and the citizens of Iowa.

Iowa's indigent defense system remains strong. The more than 200 employees of the State Public Defender System have been efficiently providing high quality representation on more cases than ever before. In Fiscal Year 2018, public defender offices closed 82,117 charges, at an average cost per charge of \$303.75, and there was a final finding of ineffective assistance of counsel in less than .001% of these charges.

Similarly, more than 750 contract attorneys continued to provide high quality representation throughout all 99 of Iowa's counties. In Fiscal Year 2018, 82,501 claims were paid out of the indigent defense fund, at an average cost per claim of \$447.42. This was an increase of over 5,700 claims from Fiscal Year 2017 and the total amount spent from the indigent defense fund increased by 6.6% from the previous fiscal year.

OVERVIEW

Justice for all in Iowa's criminal and juvenile courts is the vision of the State Public Defender. To achieve that vision, the SPD has the mission to ensure that all indigent persons in Iowa are provided high-quality legal representation in criminal, juvenile, and other eligible proceedings in the most efficient and fiscally responsible manner.

The provision of legal services to indigent clients is constitutionally mandated. In Iowa, these services are provided through a combined system of local public defenders and private attorneys. The State Public Defender provides high-quality, cost-efficient legal representation to indigent clients in state criminal court, juvenile court, and other proceedings as required by law in those areas of the state where local public defenders exist. The State Public Defender also has jurisdiction over the Indigent Defense Fund, which provides funds to pay for indigent defense and ancillary services provided by private contract attorneys and miscellaneous vendors, such as expert witnesses and court reporters. Indigent defense services are constitutionally mandated, which requires these services to be paid by the state. The Indigent Defense Fund pays for those indigent services not covered by local public defenders.

The State Public Defender maintains two core functions. The first is high-quality and cost-effective representation by public defenders to indigent clients in State criminal court, juvenile court, and other proceedings as required by law. The SPD operates **18** field offices across the state and employs more than **150** attorneys. These attorneys are appointed to cases with identified indigent clients by the presiding judge based on a matrix of designations developed by the State Public Defender. In the event of conflicts of interest or lack of available local public defender staff the presiding judge may appoint a private attorney who holds a contract with the State Public Defender.

The State Public Defender maintains contracts with over **750** private attorneys across every county in the State to handle cases where no SPD attorney is available due to location or ethical conflict. These attorneys perform their services for fixed hourly fees subject to caps that vary by the nature of the case. Upon the completion of a case, or at contractually defined intervals, the contracted attorneys submit claims for payment for their services. The prompt and fair review, adjudication, and payment of these claims is the second core function of the State Public Defender's office.

KEY RESULTS

Name: Core function— Legal Representation

Description: Prompt and fair review and adjudication of claims for payment of indigent defense fees and costs from indigent defense providers.

Why we are doing this: To meet SPD strategic goal #4, “Compensate attorneys and other indigent defense providers in a timely and accurate manner.”

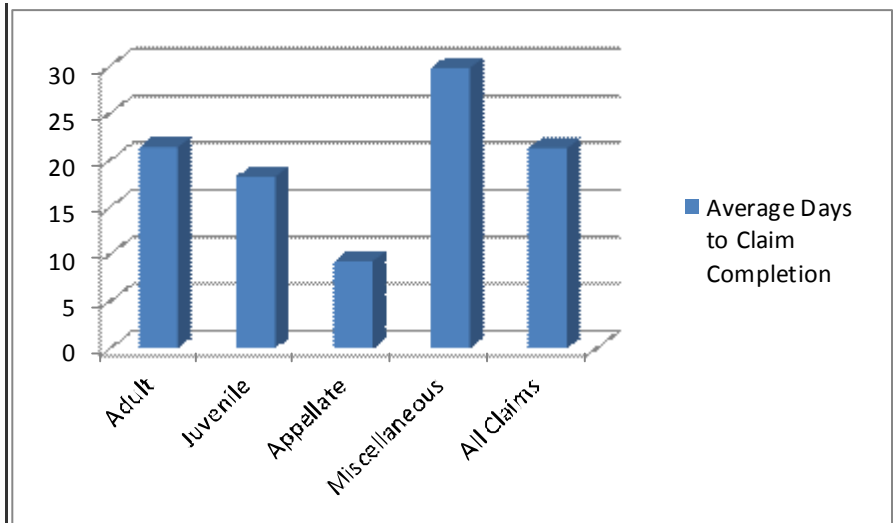
What we're doing to achieve results: The State Public Defender processes over 82,000 claims per year. These claims are reviewed for data entry accuracy, appropriateness of billed services, and adherence to administrative rules.

Performance Measure: Average processing time for an indigent defense claim within an established standard.

Performance Goal/Target: less than 35 days

What was achieved: The average processing time for all indigent defense claims was 21.278 days, nearly two weeks faster than the goal.

Data Sources: State Public Defender

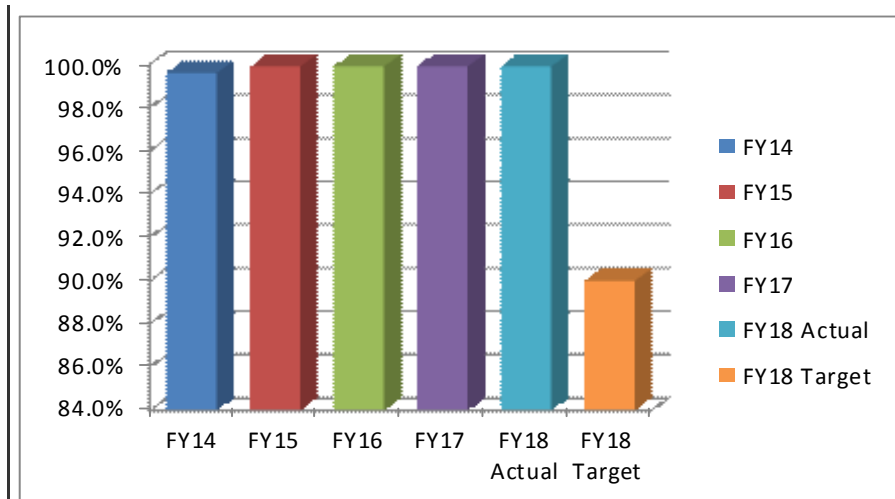


Performance Measure: Percentage of Notices of Action on indigent defense claims that are upheld upon final judicial review

Performance Goal/Target: 90%

What was achieved: 99.9% of the 11,964 Notices of Action issued in FY18 were upheld.

Data Sources: State Public Defender



KEY RESULTS

Name: Core Function - Legal Representation

Description: Provide high-quality and cost-efficient representation by public defenders to indigent clients in State criminal court, juvenile court, and other proceedings as required by law.

Why we are doing this: The State of Iowa has a constitutional duty to ensure effective legal representation to all individuals before our courts. In the event an individual is unable to afford the cost of his/her representation the State provides assistance.

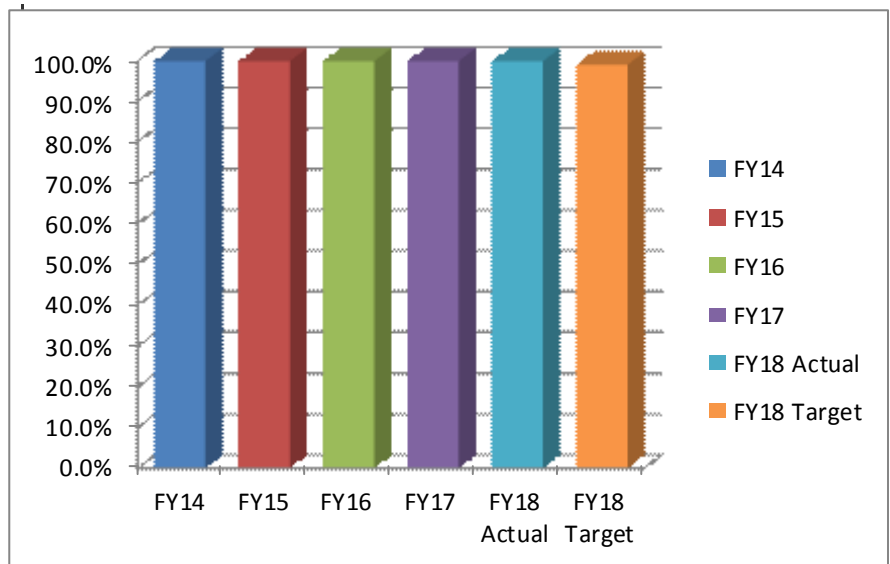
What we're doing to achieve results: The Office of State Public Defender continues to seek to hire the best available attorneys, investigators, and staff to provide the high quality, cost effective legal defense that is expected by the citizens of Iowa.

Performance Measure: Percentage of public defender cases where there have been no final findings of ineffective assistance of counsel, either on direct appeal of convictions, after post-conviction relief actions, or (for civil commitments) habeas corpus actions.

Performance Goal/Target: 99%

What was achieved: 99.9% of public defender cases were completed with no finding of ineffective counsel.

Data Sources: State Public Defender



PERFORMANCE PLAN RESULTS

Name of Agency: Office of the State Public Defender

Agency Mission: To ensure that all indigent persons in Iowa are provided high-quality legal representation in criminal, juvenile, and other eligible proceedings in the most efficient and fiscally responsible manner.

Core Function: Prompt and fair review and adjudication of claims for payment of indigent defense fees and costs from indigent defense providers.

Performance Measure (Outcome)	Performance Target	Performance Actual	Performance Comments & Analysis
1. Percentage of Notices of Action on indigent defense claims that are upheld upon final judicial review	90%	99.9%	What Occurred: Claims review staff issued 11,964 Notices of Action on claims submitted in FY18 .
2. Average processing time for an indigent defense claim within an established standard.	35 days	21.28 days	What Occurred: 87.3% of all claims in FY18 were completed within the 35 day target window.

Service, Product or Activity: Assigned Counsel Legal Services

Performance Measure	Performance Target	Performance Actual	Performance Comments & Analysis
1. Percentage of Adult indigent defense claims reviewed and acted upon (approved or disapproved) within 35 days of receipt.	90%	85%	What Occurred: The processing of adult claims was complete, on average, within 21.40 days of receipt. This drop in performance was due to a change in the Date of Service rule and the subsequent delay of some claims in our receipt to adjust into compliance.
2. Percentage of juvenile indigent defense claims reviewed and acted upon (approved or disapproved) within 35 days of receipt.	90%	96%	What Occurred: The processing of juvenile claims was complete, on average, within 18.25 days of receipt.
3. Percentage of appellate indigent defense claims reviewed and acted upon (approved or disapproved) within 35 days of receipt.	90%	99%	What Occurred: The processing of appellate claims was complete, on average, within 9.27 days of receipt.
4. Percentage of miscellaneous indigent defense claims reviewed and acted upon (approved or disapproved) within 35 days of receipt.	90%	69%	What Occurred: The processing of miscellaneous claims was complete, on average, within 29.80 days of receipt.

PERFORMANCE PLAN RESULTS (cont.)

Name of Agency: Office of the State Public Defender				
Agency Mission: To ensure that all indigent persons in Iowa are provided high-quality legal representation in criminal, juvenile, and other eligible proceedings in the most efficient and fiscally responsible manner.				
Core Function: Provide high-quality and cost-efficient representation by public defenders to indigent clients in State criminal court, juvenile court, and other proceedings as required by law.				
Performance Measure (Outcome)	Performance Target	Performance Actual	Performance Comments & Analysis	
1. Percentage of public defender charges where there have been no final findings of ineffective assistance of counsel, either on direct appeal of convictions, after post-conviction relief actions, or (for civil commitments) habeas corpus actions.	99%	99.9%	What Occurred: Public Defenders maintained a very high level of quality of representation in the FY18 period.	
Service, Product or Activity: Public Defender Legal Services				
Performance Measure	Performance Target	Performance Actual	Performance Comments & Analysis	
1. Number of charges completed by the State Public Defender (SPD) system	83,000	82,117	What Occurred: Actual performance is dependent on the severity of the charges handled. SPD is working to develop a weighted measure to more accurately track and report performance.	

OFFICE INITIATIVES

The Office is embarking on an upgrade of the iDOCIT case management system used by our Field Offices. This effort will focus on obtaining better and more consistent data and providing more robust reporting capabilities, allowing SPD to better determine appropriate designations and staffing assignment to provide cost savings to the state.

An evaluation of the responsibilities of the Office was conducted on an ongoing basis during the fiscal year. In some cases, staff positions were reassigned to best utilize the skills necessary to accomplish the mission of the Office. The Office continues to maximize state resources to accomplish our mission.

The Office live-piloted the Miscellaneous Claims Online Submission System in the Spring of 2018. A mission-critical bug was discovered in the interface between CRS and i/3. The pilot was suspended until this issue can be resolved. It is expected that the pilot will resume in the 1st Quarter of calendar year 2019 followed by a roll-out to most Miscellaneous claimants through the remainder of calendar year 2019.

AGENCY CONTACTS

Copies of the State Public Defender Agency Performance Report are available on the Results Iowa web site (www.resultsiowa.org) and the SPD web site (<https://spd.iowa.gov/>). Copies of the report can also be obtained by contacting Adam Haar at 515-281-5577 or via e-mail at ahaar@spd.state.ia.us.

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